PTO/SB/21 (08-03)
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. 1	2004	aperwork Reduction Act of	- 1995, no person	U.S. Patent and Tr	Approved for use through 07/31/2006. OMB 0651-0031 ademark Office: U.S. DEPARTMENT OF COMMERCE finformation unless it displays a valid OMB control number.				
WE TRAD	(ABER)			Application Number	10/631,898				
RAD	L .	ISMITTAL		Filing Date	August 1, 2003				
	,	ORM		First Named Inventor	Philip Kwan				
	(to be used for all co.	rrespondence after initia	al filing)	Art Unit	2661				
				Examiner Name	Unassigned				
V	Total Number of Pages	in This Submission	5	Attorney Docket Number	FOUND-0057				
			ENCLO	SURES (check all that apply)					
	Fee Transmittal Form		☐ Drawing(s)		After Allowance Communication to Group				
	Fee Attached	1	Licensi	ng-related Papers	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information				
	Amendment / Rep	oly	Petition	1					
	After Final	1		n to Convert to a onal Application					
	Affidavits/declaration(s)		Attorne	ation of Attorney and Power of by, e of Correspondence Address	Status Letter				
	Extension of Time Request		Termin	al Disclaimer	Other Enclosure(s) (please identify below):				
	Express Abandonment Request			st for Refund umber of CD(s)					
	Information Disclosure Statement								
	Certified Copy of Priority Document(s)		Rema	rks					
	Response to Missing Parts/ Incomplete Application		•						
	Response to Missing Parts under 37 CFR 1.52 or 1.53								
		SIGNA	TURE OF	APPLICANT, ATTORNEY, C	DR AGENT				
	Firm or Individual name	David B. Ritchie, Reg.	No. 31, <u>5</u> 62						
	Signature	M	N/V						
	Date 2/19/04								
	CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box Alexandria, VA 22313-1450 on the date shown below.									

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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B∕eatrice Orozco

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

OIPE 70005

Docket No.: FOUND-0057

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLICANT:

Philip Kwan

SERIAL NO.:

10/631,898

FILING DATE:

August 1, 2003

TITLE:

System, Method and Apparatus for Providing Multiple Access Modes in a

Data Communications Network

EXAMINER:

Unassigned

ART UNIT:

2661

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450, on the date printed below:

Date: 2119/174

Name:

Beatrice Orozco

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

Docket No.: FOUND-0057

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

Ι

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

Docket No.: FOUND-0057

II

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information statement; or
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,
THELEN RED & PRIEST LLP

Dated: February 19, 2004

David B. Ritchie Reg. No. 31,562

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Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office						cket No. -0057		Serial No.: 10/631,898						
Information Disclosure Statement by Applicant						Applicant: Philip Kwan								
(Use several sheets if necessary)						Filed: August 1, 2003 Group: 2661								
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	A			nfiguring 802.1X Por						yer				
	В	Switch Software Configuration Guide, Cisco IOS Release 12.1(13) EA1, March 2003, pp. 1-18. Cisco Systems, Inc., Chapter 20, "Configuring Port-Based Traffic Control", Catalyst 3550 Multilayer Switch												
		Software Configura	ation Guide, Cis	co IOS Release 12.1(13) EA1, N	March 2003	3, pp.	1-14.						
	C	Cisco Systems, Inc., Chapter 27, "Configuring Network Security with ACLs", Catalyst 3550 Multilayer Switch Software Configuration Guide, Cisco IOS Release 12.1(13) EA1, March 2003, pp. 1-48.												
	D	The Institute of Electrical and Electronics Engineers, Inc., "Port-Based Network Access Control", 2001, pp. 1-134.												
Examine	er					Date Cor	sidere	ed						
				not citation is in confe						tation if				